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## **GENERAL**

THE BARTH SYNDROME FOUNDATION, INC. (BSF) Policies require directors, officers, employees, contractors and volunteers to observe high standards of business and personal ethics in the conduct of their duties and responsibilities. As representatives of the organization, we must practice honesty and integrity in fulfilling our responsibilities, respect for each member of our various constituencies including affected families, physicians, researchers, donors, employees and volunteers and comply with all applicable laws and regulations.

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## **REPORTING RESPONSIBILITY**

It is the responsibility of all directors, officers, employees, contractors and volunteers to comply with BSF Policies and all applicable laws and regulations and to report violations or suspected violations in accordance with this Whistleblower Policy. Additionally, it is the responsibility of all leaders of the organization to ensure that those who work with him/her also are aware of this policy.

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## **NO RETALIATION**

No individual who in good faith reports a violation of BSF Policy or any applicable law or regulation shall suffer harassment, retaliation, or adverse employment or association consequence. An individual who retaliates against someone who has reported a violation in good faith is subject to discipline up to and including termination of employment or association with BSF. This Whistleblower Policy is intended to encourage and enable employees and others to raise serious concerns within the organization prior to seeking resolution outside the organization.

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## **REPORTING VIOLATIONS**

The Whistleblower Policy addresses the organization's open-door policy and suggests that employees and others share their questions, concerns, suggestions, or complaints with someone who can address them properly. In most cases, an employee's supervisor or leader is in the best position to address an area of concern. However, if the individual is not comfortable speaking with his/her supervisor or leader or is not satisfied with their response, then the individual is encouraged to speak with anyone in management or on the Board with whom he/she is comfortable speaking. Directors, officers and leaders are required to report suspected violations to the organization's President, Executive Director or Chairman who have responsibility to investigate all reported violations. For issues involving any of the above named officers or when an individual is not satisfied or uncomfortable with following the organization's open-door policy, then individuals should contact an independent member of the Board of Directors directly.

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## ACTING IN GOOD FAITH

Anyone filing a complaint concerning a violation or suspected violation must be acting in good faith and have reasonable grounds for believing the information disclosed indicates a violation of BSF's policies or a state or federal law or regulation. Any allegations for which reasonable grounds are not found and which prove to have been made maliciously or knowingly to be false will be viewed as a serious disciplinary offense.

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## CONFIDENTIALITY

Violations or suspected violations may be submitted on a confidential basis by the complainant or may be submitted anonymously. Reports of violations or suspected violations will be kept confidential to the extent possible, consistent with the need to conduct an adequate investigation.

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## HANDLING OF REPORTED VIOLATIONS

The senior officer or Board Member receiving the complaint will notify the sender (if identified) and acknowledge receipt of the reported or suspected violation within 5 business days. All reports will be promptly investigated and appropriate corrective action will be taken if warranted by the investigation.

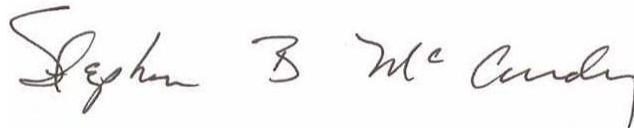


December 31, 2007

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**Valerie M. Bowen, President**

**Date**



December 31, 2007

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**Stephen B. McCurdy, Chairman of the Board**

**Date**